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SCIENTIFIC INVESTIGATIONS

Increased Mortality in Relation to Insomnia and Obstructive Sleep Apnea in Korean Patients Studied with Nocturnal Polysomnography

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Study Objectives: To elucidate the links between the two most prevalent sleep disorders, insomnia and obstructive sleep apnea (OSA), and mortality. **Methods:** We studied 4,225 subjects who were referred to the Center for Sleep and Chronobiology, Seoul National University Hospital, from January 1994 to December 2008. We divided the subjects into five groups: mild OSA ($5 \le AHI < 15$), moderate OSA ($15 \le AHI < 30$), severe OSA ($AHI \ge 30$), insomnia, and a no-sleep-disorder group consisting of subjects without sleep disorders. Standardized mortality ratio (SMR), hazard ratio, and the survival rates of the five groups were calculated and evaluated.

Results: The SMR of all-cause mortality was significantly higher in the severe OSA group than in the general population (1.52, 95% CI 1.23–1.85, p < 0.05). The SMR of cardiovascular mortality increased progressively with the severity of OSA (no-sleep-disorder: 0.09, mild: 0.40, moderate: 0.52, severe: 1.79, p < 0.05). Statistical analyses of the hazard ratios indicated that severe OSA is a risk factor for all-cause mortality (HR 3.50, 95% CI 1.03–11.91, p = 0.045) and cardiovascular mortality (HR 17.16, 95% CI 2.29–128.83, p = 0.006). Cardiovascular mortality was also significantly elevated in the insomnia group (HR 8.11, 95% CI 1.03–63.58, p = 0.046).

Conclusions: Severe OSA was associated with increased all-cause mortality and cardiovascular mortality compared to the no-sleep-disorder group. Insomnia was associated with increased cardiovascular mortality compared to the no-sleep-disorder group.

Keywords: insomnia, OSA, mortality

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INTRODUCTION

Sleep disorders have negative effects on physical and mental health.¹⁻³ Two of the most common sleep disorders are insomnia and obstructive sleep apnea (OSA). The estimated prevalence of insomnia varies according to the criteria used for its definition, ranging from 14.7% for the RDC/ICSD-2 criteria to 22.1% for the DSM-IV-TR criteria.⁴ The prevalence of insomnia in Korea is 22.8%. The prevalence is higher in women (25.3%) than in men (20.2%).⁵ OSA is the second most common sleep disorder with a prevalence of about 3% to 7% in men and 2% to 5% in women.⁶ In Korea, an epidemiologic study found that the prevalence of OSA was 4.5% in men and 3.2% in women.⁷

Although the mechanistic basis for the association between insomnia and death is unclear, a growing number of studies have suggested that insomnia is related to inflammation, an increase in the activation of the hypothalamic-pituitary-adrenal (HPA) axis, and the sympathetic system, which, in turn, can lead to hypertension, increased heart rate, metabolic dysfunction, and possibly death.⁸⁻¹² Moreover, insomnia is comorbid with other psychiatric disorders such as mood disorder and anxiety disorder, which are both closely associated with risk factors for death including suicide.^{13,14}

OSA is characterized by the presence of frequent collapses of the upper airway that lead to hypoxemia, repetitive arousal,

BRIEF SUMMARY

Current Knowledge/Study Rationale: Most previous mortality studies of OSA and insomnia have been conducted without consideration of other sleep disorders, and reports concerning insomnia have relied heavily on subjective symptoms or questionnaires. In this study, to overcome these shortcomings, we excluded all other sleep disorders and objectively determined insomnia by nocturnal polysomnography. Study Impact: Severe OSA was associated significantly with allcause mortality and cardiovascular mortality, and insomnia showed a significant correlation with deaths due to cardiovascular causes. These results suggest that early screening and efficient treatment are needed not only for OSA, but also for insomnia.

and increased blood pressure during sleep. These conditions can facilitate the development of cardiovascular diseases, cerebrovascular diseases, and metabolic syndrome.^{15,16} Negative consequences of OSA extend far beyond its effect on the physical body, as several studies have revealed that OSA is associated with cognitive impairment, excessive daytime sleepiness, and decreased overall quality of life.^{17–19} Given its link to numerous negative health outcomes, OSA is thought to be an important risk factor for death and needs to be studied extensively.

Several studies have investigated the association between insomnia and mortality and between OSA and mortality.²⁰⁻²⁴

The results of previous studies on the association between insomnia and mortality have been inconsistent; some have suggested no association²⁵ and others have indicated that insomnia patients with a short sleep duration (< 6 h of sleep per night) had a higher risk of death than normal sleepers.²¹ However, these studies relied on subjective symptoms and questionnaires for diagnosis and therefore conflated other sleep disorders with insomnia. In addition, most separated OSA patients from the reference group (with no OSA) based only on apnea-hypopnea index (AHI) or respiratory distress index (RDI) criteria, and did not screen out other sleep disorders.^{20–24}

Although previous studies have evaluated the associations of the two common sleep disorders, OSA and insomnia, with mortality, none has compared the two disorders and mortality rate simultaneously. Moreover, data on the mortality rate of patients with insomnia have been inconsistent. In the current study, we hypothesized that insomnia and OSA contribute to all-cause and disease-specific mortality.

METHODS

Subjects

We retrospectively reviewed the medical records and polysomnographic (PSG) recordings of 4,982 subjects who visited the Center for Sleep and Chronobiology at Seoul National University Hospital due to sleep disturbances, snoring, observed apnea, or abnormal behavior during sleep between January 1994 and December 2008.

All subjects in the study underwent PSG for the following reasons: snoring, observed apnea, abnormal behavior during sleep, and sleep disturbances, among other. Among the patients excluded from the insomnia group, subjects with AHI < 5, periodic limb movement index (PLMI) < 15, and no symptom of any other sleep disorder, such as narcolepsy or REM sleep behavior disorder (RBD), were included in the no-sleep-disorder group. These subjects were referred for suspicion of sleep disorders, but were found to be normal according to PSG. Based on PSG results, we classified the subjects into mild OSA $(5 \le AHI < 15)$, moderate OSA $(15 \le AHI < 30)$, and severe OSA (AHI \geq 30) groups. Subjects who requested PSG due to insomnia symptoms and had AHI > 5 on PSG recordings were classified into the OSA group. The following criteria were used to determine that patients had insomnia: difficulty initiating or maintaining sleep for ≥ 3 months; certified psychiatrists' confirmation of insomnia symptoms based on the DSM-IV criteria; maintenance of an AHI < 5 and PLMI < 15; and no sign of any other sleep disorder, such as narcolepsy or RBD, based on PSG. We excluded subjects under 15 years of age. Subsequently, we investigated the deaths of these subjects that occurred up to December 31, 2013. Other than PSG parameters, data on demography, hypertension, diabetes, and body mass index (BMI) were also collected. We obtained the approval of the Seoul National University Institutional Review Board.

Nocturnal Polysomnography

A Grass model 15 or 78 (Grass Instrument, Middleton, WI) was used for PSG. Sleep stage, respiratory events, and movement

events were scored according to the standard criteria,^{25,26} primarily by trained sleep technicians and secondarily by sleep physicians. Apnea was defined as a complete or near-complete (\geq 90%) cessation of airflow \geq 10 s. Hypopnea was defined as a reduction of respiratory signal by > 30% lasting for at least 10 s and associated with oxygen saturation \geq 3% from the baseline or an arousal. Periodic limb movements in sleep (PLMS) were defined as at least four consecutive movements at 25% of the amplitude of the resting legs, lasting 0.5 to 5 s and occurring 5 to 90 s apart. Sleep efficiency (SE), sleep latency, and wake after sleep onset time (WASO) were calculated.

Mortality Data

We searched each subject's Korean Identification Number and name in the death records from Statistics of Korea, the national bureau of statistics, to determine the deaths in the cohort that occurred prior to December 31, 2013. Date of death and primary cause of death were noted in these records. We divided the subjects into three groups that reflected the most common causes of death reported in these records (cancer, cardiovascular diseases, and trauma) and calculated each group's mortality rate.

Statistical Analysis

The expected number of deaths was first calculated to determine the standardized mortality ratio (SMR), and was then compared to the death rate in the general population.²⁷ The SMR is the ratio of observed deaths in the study group to the number of expected deaths in the general population. An SMR of 1.0 indicates that the expected number of deaths in the study group equals that in the general population. An SMR > 1.0indicates a higher number of deaths in the study group than in the general population. The data on the death rate of the general population were collected from Statistics of Korea. Cox proportional hazard regression analysis was employed to estimate the hazard ratios (HRs) against the no-sleep-disorder group and 95% confidence intervals (CIs) adjusted for age, gender, BMI, hypertension, and diabetes. Kaplan-Meier survival analysis was used to compare survival rates among the groups. We used SPSS version 21.0.

RESULTS

Subject Characteristics

Of 4,982 subjects, 144 subjects who were < 15 years old, 119 subjects with RBD, 130 subjects with narcolepsy, and 274 patients with insomnia symptoms, but PLMIs of > 15 events were excluded. Finally, the study included a total of 4,225 subjects, all of whom underwent complete (> 8 h) PSG. The average age was 48.6 years, male gender was 70.8% and the mean BMI was 25.5 kg/m². Among the 4,225 subjects, 1,110 (24%) had mild OSA, 753 (16%) had moderate OSA, 925 (20%) had severe OSA, and 661 (14%) had insomnia. The severe OSA group had a significantly higher BMI than the others. The insomnia group showed significantly lower SE than all OSA groups and significantly higher WASO (min) than the mild and moderate OSA groups. All of the OSA subjects had lower average

Table 1—General characteristics of OSA patients, insomnia patients, and subjects without sleep disorders.

Variables	No-Sleep- Disorder (n = 776)	Mild OSA (n = 1,110)	Moderate OSA (n = 753)	Severe OSA (n = 925)	Insomnia (n = 661)	Total (n = 4,225)	p value	Bonferroni
Age	42.2 ± 15.0	50.1 ± 14.4	50.8 ± 13.3	49.7 ± 13.5	49.3 ± 12.2	48.6 ± 14.1	< 0.001	NSD < others
Gender, male (%)	491 (63.3)	797 (71.8)	602 (79.9)	815 (88.1)	285 (43.1)	2,990 (70.8)	0.012	Ins < NSD < mild < moderate < severe OSA
AHI	1.8 ± 1.5	9.1 ± 2.9	21.5 ± 4.4	54.2 ± 17.5	1.4 ± 1.4	18.7 ± 21.8		
SE (%)	78.3 ± 23.7	80.5 ± 15.5	81.2 ± 14.4	81.1 ± 13.7	76.3 ± 16.4	79.7 ± 17.0	< 0.001	NSD < moderate, severe OSA; Ins < all OSA
SL (min)	24.5 ± 47.0	22.8 ± 35.3	17.4 ± 27.2	14.9 ± 26.1	41.3 ± 56.5	23.3 ± 39.8	< 0.001	others < Ins; NSD < moderate, severe OSA
WASO (min)	71.8 ± 74.1	79.9 ± 65.1	78.4 ± 63.1	80.3 ± 58.5	92.3 ± 68.5	80.6 ± 66.5	< 0.001	NSD, mild, moderate OSA < Ins
AvgO ₂ (%)	97.4 ± 1.1	96.8 ± 1.4	96.4 ± 1.4	93.8 ± 3.8	97.5 ± 1.1	96.0 ± 2.7	< 0.001	severe OSA < others; all OSA < NSD
MinO ₂ (%)	91.9 ± 4.0	88.8 ± 5.8	84.9 ± 8.9	76.8 ± 11.7	93.0 ± 3.0	85.5 ± 9.9	< 0.001	severe < moderate < mild < NSD, Ins
BMI (n)	25.0 ± 3.7 (266)	24.6 ± 3.2 (443)	25.4 ± 3.3 (335)	26.8 ± 3.5 (498)	25.4 ± 3.5 (424)	25.5 ± 3.5 (1,966)	< 0.001	others < severe OSA
HTN (n)	47 (265)	113 (441)	80 (335)	153 (497)	17 (163)	409 (1,701)	0.450	
DM (n)	15 (265)	29 (441)	18 (335)	34 (497)	6 (163)	102 (1,701)	0.735	

OSA = obstructive sleep apnea, AHI = apnea-hypopnea index, SE = sleep efficiency, SL = sleep latency, WASO = wakefulness after sleep onset time, AvgO₂ = average oxygen saturation, MinO₂ = minimum oxygen saturation, BMI = body mass index, HTN = hypertension, DM = diabetes mellitus, NSD = no-sleep-disorder, Ins = insomnia.

Table 2—Standardized mortality ratio of all-cause mortality.
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	n	ED	OD	SMR	95% CI
Total	4,225	430.9	354	0.82*	0.73-0.91
No-Sleep-Disorder	776	54.0	31	0.57*	0.39–0.81
Mild OSA	1,110	111.8	86	0.77*	0.62-0.95
Moderate OSA	753	76.7	40	0.52*	0.37-0.71
Severe OSA	925	62.7	95	1.52*	1.23–1.85
Insomnia	661	70.8	51	0.72*	0.54-0.95

*p < 0.05. ED = expected death, OD = observed death, SMR = standardized mortality ratio, OSA = obstructive sleep apnea.

oxygen saturation (SpO₂, in percentage) than the no-sleepdisorder group and the severe OSA group had a significantly lower average SpO₂ than all other groups (**Table 1**).

Mortality Compared to the General Population

The observation period was 48,763.6 person-years and the average observation period was 10.5 ± 4.1 years. There were 354 deaths during the observation period: 109 died from cancer (30.8%), 59 died from cardiovascular diseases (16.7%), and 55 died from trauma (15.5%). The SMR of the general population in the Republic of Korea was set to 1, and the SMR of all-cause mortality for all of the current subjects was 0.82, which suggests that the subjects had a 12% lower mortality rate than the general population. The SMR of all-cause mortality in the no-sleep-disorder group was 0.57. The severe OSA group had mortality rates 1.52 times higher than those of the general population. The SMR of all-cause mortality in the mild OSA group was 0.77, indicating that they died less than the general population but died 20% more than the no-sleep-disorder group. The SMR of all-cause mortality in the insomnia

group was 0.72, which was also lower than that of the general population but higher than that of the no-sleep-disorder group (**Table 2**). There were no significant differences in the SMR of cancer between the no-sleep-disorder and OSA groups. However, the SMR of cardiovascular diseases increased with the severity of OSA (no-sleep-disorder: 0.09, mild: 0.40, moderate: 0.52, severe: 1.79) (**Table 3**).

Mortality Compared to the No-Sleep-Disorder Group

The HR of all-cause mortality was significantly elevated in the mild OSA group, the severe OSA group, and the insomnia group. However, only the severe OSA group showed significantly increased HR (3.50, 95% CI 1.03–11.91; p = 0.045) after adjusting for age, gender, hypertension, diabetes, and BMI (**Table 4**). The HR of cardiovascular mortality adjusted for age and gender was significantly elevated in the severe OSA and insomnia groups, compared to the no-sleep-disorder group (17.16, 95% CI 2.29–128.83, p = 0.006; 8.11, 95% CI 1.03–63.58, p = 0.046, respectively; **Table 5**). The HR of the other general characteristics and sleep-related variables was also calculated. Age

	Death Cause	ED	OD	SMR	95% CI
No-Sleep-Disorder	Cancer	17.8	19	1.07	0.64-1.67
	Cardiovascular	11.4	1	0.09*	0.001-0.49
	Trauma	7.0	4	0.57	0.15–1.46
Mild OSA	Cancer	36.9	26	0.70	0.46-1.03
	Cardiovascular	25.1	10	0.40*	0.19-0.73
	Trauma	11.6	14	1.20	0.66-2.02
Moderate OSA	Cancer	24.9	15	0.60*	0.34-0.99
	Cardiovascular	17.4	9	0.52*	0.24-0.98
	Trauma	7.9	5	0.64	0.20-1.48
Severe OSA	Cancer	22.0	22	1.00	0.63–1.52
	Cardiovascular	13.4	24	1.79*	1.15–2.67
	Trauma	7.4	13	1.76	0.93–3.01
Insomnia	Cancer	23.8	11	0.46*	0.23-0.83
	Cardiovascular	15.6	10	0.64	0.31–1.18
	Trauma	8.0	14	1.75	0.96-2.94

Table 3—Standardized mortality ratio of each cause of mortality.

*p < 0.05. ED = expected death, OD = observed death, SMR = standardized mortality ratio, OSA = obstructive sleep apnea.

Table 4—Hazard ratios for all-cause mortality.

	Model 1		Model 2		Model 3	
Groups	Hazard Ratio (95% CI)	p value	Hazard Ratio (95% CI)	p value	Hazard Ratio (95% CI)	p value
No-Sleep-Disorder	Reference		Reference		Reference	
Mild OSA	2.17 (1.44-3.27)	< 0.001	1.28 (0.85-1.94)	0.244	1.42 (0.41-4.98)	0.583
Moderate OSA	1.53 (0.96-2.45)	0.076	0.85 (0.53-1.37)	0.509	1.93 (0.54-6.90)	0.313
Severe OSA	3.55 (2.35-5.34)	< 0.001	2.18 (1.44-3.31)	< 0.001	3.50 (1.03-11.91)	0.045
Insomnia	1.73 (1.11–2.71)	0.016	1.33 (0.85-2.08)	0.214	0	0.964

Model 1 = Before adjustments. Model 2 = After adjusting for age and gender. Model 3 = After adjusting for age, gender, HTN, DM, and BMI.

Table 5—Hazard ratios for cardiovascular mortality.

Model 1		Model 2		
Hazard Ratio (95% CI)	p value	Hazard Ratio (95% CI)	p value	
Reference		Reference		
8.04 (1.03-62.87)	0.047	4.36 (0.56-34.28)	0.162	
10.95 (1.39-86.54)	0.023	5.51 (0.69-43.89)	0.107	
29.76 (3.99-221.82)	0.001	17.16 (2.29-128.83)	0.006	
10.66 (1.36-83.35)	0.024	8.11 (1.03–63.58)	0.046	
	Hazard Ratio (95% Cl) Reference 8.04 (1.03–62.87) 10.95 (1.39–86.54) 29.76 (3.99–221.82)	Hazard Ratio (95% Cl) p value Reference 8.04 (1.03–62.87) 0.047 10.95 (1.39–86.54) 0.023 29.76 (3.99–221.82) 0.001	Hazard Ratio (95% Cl) p value Hazard Ratio (95% Cl) Reference Reference 8.04 (1.03-62.87) 0.047 4.36 (0.56-34.28) 10.95 (1.39-86.54) 0.023 5.51 (0.69-43.89) 29.76 (3.99-221.82) 0.001 17.16 (2.29-128.83)	

Model 1 = Before adjustments. Model 2 = After adjusting for age and gender.

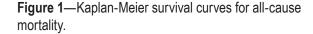
was significantly associated with increased all-cause mortality (1.07, 95% CI 1.06–1.08, p < 0.001) and cardiovascular mortality (1.08, 95% CI 1.05–1.11, p < 0.001). Male gender showed a significant association with increased all-cause mortality (1.68, 95% CI 1.24–2.28, p = 0.001). A high AHI was a significant predictor of all-cause mortality (1.01, 95% CI 1.01–1.02, p < 0.001) and cardiovascular mortality (1.02, 95% CI 1.00–1.03, p = 0.020). In addition, the Cox regression analysis indicated that a high PLMI was associated with increased all-cause mortality (1.008, 95% CI 1.00–1.01, p = 0.001) and cardiovascular mortality (1.014, 95% CI 1.01–1.02, p = 0.002). Low SE was associated with increased all-cause mortality (1.014, 95% CI 1.01–1.02, p = 0.002). Low SE was associated with increased all-cause mortality and cardiovascular

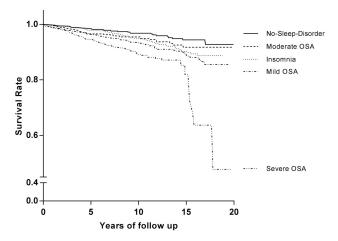
mortality (0.949, 95% CI 0.916–0.984, p = 0.004; 0.912, 95% CI 0.843–0.988, p = 0.023, respectively; **Table 6**). The HR of cancer and trauma mortality was not statistically significant in the OSA groups or the insomnia group. Kaplan-Meier survival curves for all-cause mortality showed that the survival rate of the severe OSA group was significantly lower than that of all other groups (p = 0.001). The mild OSA and insomnia groups had lower survival rates than the no-sleep-disorder group (p < 0.001, p = 0.02; **Figure 1**). When cardiovascular mortality was calculated using the Kaplan-Meier survival rates than the no-sleep-disorder group (and the other survival rates than the no-sleep-disorder group (p < 0.01, p = 0.02; **Figure 1**). When cardiovascular mortality was calculated using the Kaplan-Meier survival rates than the no-sleep-disorder group (p < 0.01, p = 0.02; **Figure 1**). When cardiovascular mortality was calculated using the Kaplan-Meier survival rates than the no-sleep-disorder group (p < 0.01, p = 0.02; **Figure 1**). When cardiovascular mortality was calculated using the Kaplan-Meier survival rates than the no-sleep-disorder group (p < 0.01, p = 0.02; **Figure 1**). When cardiovascular mortality was calculated using the Kaplan-Meier survival curve, all of the OSA groups had significantly lower survival rates than the no-sleep-disorder group (mild: p = 0.019; moderate:

	All-Cause Morta	Cardiovascular Mortality		
Variables	Hazard Ratio (95% CI)	p value	Hazard Ratio (95% CI)	p value
Age	1.068 (1.055–1.081)	< 0.001	1.078 (1.046–1.111)	< 0.001
Gender (male)	1.684 (1.242-2.284)	0.001	1.796 (0.840-3.841)	0.131
AHI	1.014 (1.007–1.020)	< 0.001	1.017 (1.003–1.031)	0.020
PLMI	1.008 (1.003–1.013)	0.001	1.014 (1.005–1.023)	0.002
SE (%)	0.949 (0.916-0.984)	0.004	0.912 (0.843-0.988)	0.023
WASO (min)	0.993 (0.986-1.000)	0.054	0.985 (0.969-1.002)	0.083
SL (min)	0.997 (0.993-1.002)	0.208	0.989 (0.977-1.001)	0.074

Table 6—Hazard ratios of other variables.

AHI = apnea-hypopnea index, PLMI = periodic limb movement index, SE = sleep efficiency, WASO = wakefulness after sleep onset time, SL = sleep latency.





Kaplan-Meier estimated survival rate for all-cause mortality according to OSA severity and insomnia. The survival rate was significantly lower in the severe OSA group that in all other groups (p = 0.001). The mild OSA and insomnia groups had lower survival rates than the no-sleep-disorder group (p < 0.001 and p = 0.02, respectively). The survival rate did not significantly differ between the moderate OSA group and the no-sleep-disorder group. OSA = obstructive sleep apnea.

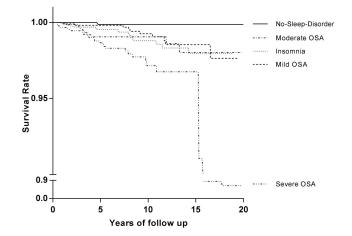
p = 0.007; severe: p < 0.001). The cardiovascular survival rate of the severe OSA group was significantly lower than those of the other groups (p = 0.005). No significant difference was found between the mild and moderate OSA groups (p = 0.489) for cardiovascular mortality. The cardiovascular survival rate of the insomnia group was significantly lower than that of the control group (p = 0.006; **Figure 2**).

DISCUSSION

The severe OSA group exhibited increased all-cause mortality and cardiovascular mortality compared to the no-sleep-disorder group and the general population of the Republic of Korea. Patients with insomnia also had increased cardiovascular mortality compared to the no-sleep-disorder group.

A seminal cross-sectional study of OSA and mortality showed that OSA was associated significantly with stroke or

Figure 2—Kaplan-Meier survival curves for cardiovascular mortality.



Kaplan-Meier estimated survival rates for cardiovascular mortality according to OSA severity and insomnia. All of the OSA groups and the insomnia group had significantly lower survival rates than the no-sleep-disorder group (p = 0.019, p = 0.007, p < 0.001, and p = 0.006 respectively). The cardiovascular survival rate of the severe OSA group was significantly lower than those of all of the other groups (p = 0.005). The cardiovascular survival rate of the insomnia group was significantly lower than that of the no-sleep-disorder group (p = 0.006). No significant difference was found among the mild OSA group, the moderate OSA group, and the insomnia group for cardiovascular mortality. OSA = obstructive sleep apnea.

death, based on an AHI \geq 5.²⁴ In an 18-year follow-up study of sleep apnea patients, Young et al.²² found that only the severe OSA group had significantly high HRs of all-cause mortality and cardiovascular mortality (3.8, 95% CI 1.6–9.0; 5.2, 95% CI 1.4–19.2). In a meta-analysis of prospective cohort studies, Wang et al.²³ also reported significant associations of severe OSA with all-cause mortality and cardiovascular disease. Consistent with these findings, the SMR of all-cause mortality in the severe OSA group in our study was 1.52, which is 2.7 times higher than that of the no-sleep-disorder group. The SMRs of cardiovascular mortality increased with the severity of OSA.

Although the SMR of all-cause mortality in insomnia was just 0.72, it was still 15% higher than that of the no-sleep-disorder group. The SMR of all-cause mortality in the no-sleep-disorder

group, which consisted of subjects with symptoms of sleep disturbances, snoring, or observed apnea, but who did not meet the criteria for any sleep disorder, was significantly lower than that of the general population (SMR = 0.57, p < 0.05). This finding suggests that the all-cause mortality rate of individuals with no sleep disorder is 43% lower than that of the general population. However, the SMRs of other subjects (patients with mild OSA, moderate OSA, and insomnia) were also lower than those of the general population in the Republic of Korea. These results may be attributable to the potential existence of clinical referral bias in this study, as we utilized only patient data collected from the Center for Sleep and Chronobiology at the Seoul National University Hospital. Because the national insurance system in the Republic of Korea does not cover PSG, it is likely that the patients who could afford PSG have a higher socioeconomic status (SES) and more means to obtain the necessary medical care. Thus, the mortality rate of the no-sleepdisorder group was lower than that of the general population. Although adjustments were made when calculating the SMR, it is possible that unmeasured and overlooked confounding variables may have introduced bias into the study. One possible reason why the SMR of the moderate group was lower than that of the mild group may be because more moderate OSA patients could receive treatment using continuous positive airway pressure (CPAP), according to treatment guidelines.²⁹ These findings suggest that early detection and effective treatment of mild OSA may reduce the mortality rate. To further elucidate whether treatment of OSA reduces the mortality rate, studies that take into consideration CPAP treatment and compliance therewith are required.

Cox regression analyses supported these findings, as the HR of all-cause mortality was significantly higher for the severe OSA group than for the no-sleep-disorder group. The reason for such an elevated mortality rate in the severe OSA group may be due to oxygen desaturation from OSA. The average SpO₂ was significantly lower in the severe group than in the other groups, and the minimum SpO₂ decreased as the severity of OSA increased. Considering that the mortality of OSA is associated with desaturation,³⁰⁻³² we can hypothesize that the reason why only the severe group was significantly associated with higher all-cause mortality rate is because the average desaturation level during sleep affects mortality more than the minimum desaturation level. This is because the severity of multiple breathing events must be more relevant to predicting the severity of the disorder than that of a single event.

We identified cardiovascular diseases, cancer, and trauma as the three most common causes of death in the cohort. We categorized subjects into these groups to examine the association between each cause and mortality. Only cardiovascular mortality was significantly associated with OSA and the risk of cardiovascular mortality increased with the severity of OSA. The SMR related to cancer and trauma was not significantly different than that reported in studies conducted on Caucasian populations. Cox proportional hazard regression analyses suggested the same result, as cardiovascular mortality was significantly higher in the severe OSA group than in the nosleep-disorder group; nonetheless, no significant difference in mortality rate was observed for cancer or trauma between these groups. Our results do not support the results of many studies that found an association between OSA severity and increased cancer mortality.^{32,33} This may be due to the physiological difference that exists between Asians and Caucasians. Asian OSA patients, compared to their Caucasian counterparts, have lower BMIs and higher central fat distribution. However, Asian OSA patients tend to have higher OSA severity because their upper airway is more severely restricted by their craniofacial bone structure.³⁴ In our research, it is apparent that although severe OSA patients have higher BMIs than other groups, the difference is minimal and their BMI is lower than that of Caucasian OSA patients.³⁵

Until now, there have been inconsistent findings across studies about the relationship between insomnia and mortality.^{21,25,36,37} The SMRs of the insomnia group and the Kaplan-Meier survival curve analyses showed that insomnia was a risk factor for increased all-cause mortality compared to the no-sleep-disorder group. According to Cox regression analyses, the risk of cardiovascular mortality in the insomnia group was 8.11 times higher even after adjusting for age and sex, and the Kaplan-Meier curve showed that the survival rate of the insomnia group was significantly lower than that of the no-sleep-disorder group. This reduced rate is probably due to inflammation and HPA activation associated with the stress and autonomic systems. Our results support a recent report that persistent insomnia is related to cardiovascular mortality, rather than cancer mortality.8 Unfortunately, we could not statistically adjust for hypertension or diabetes; thus, our results cannot provide a clear explanation for the high mortality rate of the insomnia group.^{10,11,21} However, a previous study that objectively defined insomnia using PSG indicated that short sleep duration is associated with a higher mortality rate,²¹ which partially supports our results.

A limitation of this study is that we collected data from clinical samples instead of the general population, which could have led to selection bias. However, we employed SMR to detect selection bias and compared the SMR of insomnia and OSA to not only the SMR of the no-sleep-disorder group but also to that of the general population. Because our statistical analyses were based on medical records and PSG results, data on confounding variables (e.g., treatment status, depression, ordinary sleep duration) were limited. Therefore, an extensive study that compiles statistics on subjects' treatment, scale of depression, and average sleep duration will further clarify the association between the risk of mortality and insomnia or OSA. The lack of knowledge about treatment information for insomnia and OSA, including use of CPAP, also limits the findings. We could not assess information about the effects of sleep apnea and insomnia treatment on mortality because treatment adherence and compliance were not fully evaluated for all patients. However studies have shown inconsistent results on the effectiveness of CPAP treatment in reducing mortality as a number of prior studies have reported that CPAP has protective effect against mortality, while other studies were unable to demonstrate a significant correlation between CPAP treatment and mortality.³⁸ In the case of insomnia, some studies have shown that insomnia may be associated with increased

mortality after adjusting for hypnotics use.³⁹ However, other studies have shown that increased mortality was associated with the use of hypnotic medications rather than the insomnia it self.⁴⁰ Future studies with a prospective design will be needed to assess whether or not treatment for OSA and insomnia is associated with reduced mortality. Another limitation of the study is that its retrospective nature precluded the recruitment of healthy participants for the control group. Instead, subjects with no sleep disorder according to PSG were used as a comparison sample.

In spite of the limitations, the large sample size and the long follow-up period of our research add to the validity of our results. In addition, we analyzed the mortality of sleepdisordered patients using both SMR calculations and Cox regression analysis. We compared not only the SMR of OSA patients to that of patients without the disorder but also went further by comparing it to that of the general population. In addition, because we used PSG to determine insomnia levels, we eliminated the problem of subjectivity and the possibility of confounding insomnia symptoms caused by other sleep disorders, which is often a concern in studies where insomnia is only diagnosed by self-reporting. Most previous studies on the mortality rate of OSA patients collected data from subjects who were older than 40 years. However, we decreased the inclusion criteria by lowering the age limit and including subjects from a more diverse age group to minimize the effects of age on mortality rate.

In conclusion, the results of the current study suggest that increased mortality is associated with insomnia and OSA. In particular, severe OSA increased all-cause mortality and cardiovascular mortality compared to the no-sleep-disorder group and the general population. Patients with insomnia showed increased cardiovascular mortality compared to the no-sleep-disorder group. These findings emphasize the need for more active treatment of OSA and insomnia. As the current study has the limitations inherent to any retrospective review of medical records, a large prospective study is needed to elucidate the causal associations of OSA and insomnia with mortality. Moreover, such studies should also examine whether treatment of OSA and insomnia reduces the mortality rate.

ABBREVIATIONS

AHI, apnea-hypopnea index BMI, body mass index CI, confidence interval CPAP, continuous positive airway pressure HPA, hypothalamic-pituitary-adrenal HR, hazard ratio OSA, obstructive sleep apnea PLMI, periodic limb movement index PLMS, periodic limb movements in sleep PSG, polysomnography RBD, REM sleep behavior disorder RDI, respiratory distress index SE, sleep efficiency SMR, standardized mortality ratio SpO₂, oxygen saturation WASO, wake after sleep onset time

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DISCLOSURE STATEMENT

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